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TRANSMITTAL FORM			First Named Inventor	HSUEH, A	HSUEH, AARON, J.W.		
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	Attorney Docket Confirmation No.	STAN084 3881		
	First Named Inventor	A. Hsueh		
APPELLANTS' BRIEF	Application Number	09/647,067		
	Filing Date	Sept. 25, 2000		
	Group Art Unit	1647		
Address to: Mail Stop Appeal Brief-Patents	Examiner Name	B.E. Bunner		
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Title	Novel mammalian G-protein coupled receptors having extracellular leucine rich repeat regions		

Sir:

This Brief is filed in support of Appellants' appeal from the final Office Action dated April 23, 2003. No claims have been allowed, and claims 1, 2, 4, 7-11, and 18-20 are pending. Claims 1, 2, 4, 7-11, and 18-20 are appealed. A Notice of Appeal was filed on October 22, 2003.

The Board of Appeals and Interferences has jurisdiction over this appeal pursuant to 35 U.S.C. §134.

The Commissioner is hereby authorized to charge deposit account number 50-0815 in the amount of \$165.00 to cover the fee required under 37 C.F.R. §1.17(c) for filing Appellants' brief, and the \$1005.00 for the extension of time. In the unlikely event that the fee transmittal or other papers are separated from this document and/or other fees or relief are required, appellants petition for such relief, including extensions of time, and authorize the Commissioner to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815, order number STAN084.

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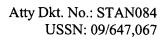




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REAL PARTY IN INTEREST

The inventors listed on this patent application are Aaron J.W. Hsueh, Sheau Yu Hsu, Shan-Guang Liang, and Petrus J. van der Spek. Aaron J.W. Hsueh, Sheau Yu Hsu, Shan-Guang Liang assigned their entire rights in the invention to The Board of Trustees of the Leland Stanford Junior University. Petrus Johannes Van Der Spek assigned his entire rights in the invention to Akzo Nobel N.V.

RELATED APPEALS AND INTERFERENCES

There are currently no other appeals or interferences known to Appellant, the undersigned Appellants' representative, or the assignee to whom the inventors assigned their rights in the instant case, which would directly affect or be directly affected by, or have a bearing on the Board's decision in the instant appeal.

STATUS OF THE CLAIMS

This application is a national phase filing under 35 U.S.C. §371 of International Patent Application No. PCT/US99/06573, filed March 25, 1999, which application claims benefit of priority to U.S. Provisional Patent Application No. 60/079,501, filed March 26, 1998.

Claims 1-18 were originally filed on September 25, 2000. In response to a Restriction Requirement mailed March 11, 2002, Group A claims (claims 1-11, and 18) were elected for prosecution on the merits. In an amendment, filed on February 3, 2003 and responsive to the Office Action mailed November 6, 2002, claims 3, 5, and 6 were canceled; and claims 1, 2, 4, 7, 10, 11, and 18 were amended; and the claim amendments were entered. In an amendment, filed on October 22, 2003 and responsive to the April 23, 2003 final Office Action, claims 2, 4, and 7 were amended; claims 12-17 were canceled; and claims 19 and 20 were added. The Advisory Action, mailed on November 12, 2003 indicated that, for purposes of Appeal, the amendments made in the amendment, filed on October 22, 2003 and responsive to the April 23, 2003 final Office Action, will be entered.

As a result of the amendments discussed above, claims 1, 2, 4, 7-11, and 18-20 remain pending.

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All of the pending claims 1, 2, 4, 7-11, and 18-20 shown in attached Appendix I remain pending,

rejected, and appealed here.

STATUS OF AMENDMENTS

During the course of prosecution, the following amendments were made.

Claims 1-18 were originally filed on September 25, 2000. In response to a Restriction Requirement

mailed March 11, 2002, Group A claims (claims 1-11, and 18) were elected for prosecution on the merits. In

an amendment, filed on February 3, 2003 and responsive to the Office Action mailed November 6, 2002,

claims 3, 5, and 6 were canceled; and claims 1, 2, 4, 7, 10, 11, and 18 were amended; and the claim

amendments were entered. In an amendment, filed on October 22, 2003 and responsive to the April 23, 2003

final Office Action, claims 2, 4, and 7 were amended; claims 12-17 were canceled; and claims 19 and 20

were added. The Advisory Action, mailed on November 12, 2003 indicated that, for purposes of Appeal, the

amendments made in the amendment, filed on October 22, 2003 and responsive to the April 23, 2003 final

Office Action, will be entered.

SUMMARY OF THE INVENTION

The instant invention as claimed relates to the identification and characterization of G-protein

coupled receptors (GPCR), termed "LGR4," "LGR5," and "LGR7." These new G-protein coupled receptors

are similar to other, previously known GPCR in that they exhibit the characteristic seven transmembrane

feature. However, LGR4, LGR5, and LGR7 differ from the vast majority of known GPCR in that they

further exhibit a large extra-cellular leucine-rich repeat region. Specification, page 3, lines 26-29. The

extra-cellular leucine-rich repeat region found in LGR4, LGR5, and LGR7 is structurally similar to that

found in the previously described leutinizing hormone (LH), follicle stimulating hormone (FSH), and

thyrotropin (TSH) receptors. Specification, page 3, line 29 to page 4, line 1; and page 1, lines 13-19. The

large extracellular, leucine-rich domain of the LH, FSH, and TSH receptors, which domain is also referred to

as an ectodomain, is believed to bind the corresponding hormone ligand. Specification, page 1, lines 13-19.

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Nucleic acids encoding mammalian LGR7 polypeptides are useful for producing LGR7 polypeptides.

Specification, page 9, lines 20-27. The extracellular domain of a subject GPCR, e.g., LGR7, is useful for

drug screening for agonists and antagonists. Specification, page 11, lines 1-2. The solubilized extracellular

domain of a subject GPCR, e.g., LGR7, is useful for as a therapeutic agent, e.g., in the neutralization of the

action of an endogenous ligand. Specification, page 11, lines 3-4; and page 21, lines 12-15.

As discussed in the specification, the inventors identified a human LGR4 cDNA (SEQ ID NO:01),

which encodes an LGR4 protein (SEQ ID NO:2); a human LGR5 cDNA (SEQ ID NO:03), which encodes an

LGR5 protein (SEQ ID NO:04); and two human LGR7 cDNAs (SEQ ID NO:05 and SEQ ID NO:07) which

encode LGR7 proteins (SEQ ID NO:06 and SEQ ID NO:08, respectively). Specification, page 4, lines 4-5,

lines 8-9, and lines 18-20; Figure 5; page 25, lines 15-25. The specification discusses various polypeptide

fragments of LGR7. Specification, page 9, line 5 to page 11, line 17.

The invention thus provides new members of a very small subset of GPCR, which subset share the

feature of a large ectodomain, and which subset includes peptide hormone-binding receptors. As noted

above, like other previously identified members of this small subset of GPCR, LGR7 proteins are identified

in the specification as hormone-binding receptors. Further, as noted above, the specification asserts that the

solubilized extracellular domain is useful for as a therapeutic agent, e.g., in the neutralization of the action of

an endogenous ligand; and for identifying ligands (e.g., agonists and antagonists) of the receptor.

ISSUES

There are three issues on appeal, as follows:

- I. Whether claims 1, 2, 4, 7-11, and 18-20 meet the utility requirement of 35 U.S.C. §101;
- II. Whether claims 1, 2, 4, 7-11, and 18-20 meet the enablement requirement of 35 U.S.C. §112, first paragraph; and
- III. WHETHER CLAIMS 1, 2, 4, 8-11, AND 18-20 MEET THE WRITTEN DESCRIPTION REQUIREMENT OF 35 U.S.C. §112, FIRST PARAGRAPH.

GROUPING OF THE CLAIMS

Claims 1, 2, 4, 7, 19, and 20 are directed to an isolated nucleic acid encoding an LGR7 protein; claim 8 is directed to an expression cassette comprising a nucleic acid according to claim 1; claim 9 is directed to a cell comprising an expression cassette according to claim 8; claim 10 is directed to a method for producing an LGR7 protein; claim 11 is directed to a purified polypeptide composition comprising an LGR7 protein; and claim 18 is directed to a method for screening a sample for the presence of a ligand for an LGR7 protein. Claims 1, 2, 4, 7-11, and 18-20 are argued as a group. With respect to the utility rejection under 35 U.S.C.§101, the enablement rejections under 35 U.S.C.§112, first paragraph, and the written description rejection under 35 U.S.C.§112, first paragraph as set forth in the April 23, 2003 final Office Action, claims 1, 2, 4, 7-11, and 18-20 are argued as a group and stand or fall together.

ARGUMENTS

The arguments portion of this Brief is divided into two sections. The first section describes Appellants' understanding of the Examiner's rejections. The second section specifically addresses the three issues outlined above relating to whether the claimed invention meets the utility requirement of 35 U.S.C. §101; whether the claimed invention meets the enablement requirement of 35 U.S.C.§112, first paragraph; and whether the claimed invention meets the written description requirement of 35 U.S.C.§112, first paragraph.

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THE EXAMINER'S REJECTIONS

Rejection under 35 U.S.C.§101

Claims 1, 2, 4, 7-11, and 18-20 were rejected under 35 U.S.C. §101 as allegedly lacking utility. In

support of this rejection, the Office argued that the rejected claims are not supported by either a specific and

substantial asserted utility or a well established utility.

Rejections under 35 U.S.C.§112, first paragraph

Enablement

i) Claims 1, 2, 4, 7-11, and 18-20 were rejected under 35 U.S.C.§112, first paragraph, as allegedly

lacking enablement. In support of this rejection, the Office argued that since the claimed invention is not

supported by either a specific and substantial asserted utility or a well established utility, one skilled in the

art would not know how to use the claimed invention.

ii) Claims 1, 2, 4, 8-11, and 18-20 were rejected under 35 U.S.C.§112, first paragraph, as allegedly

lacking enablement. In support of this rejection, the Office argued that the specification does not teach the

functional characteristics of LGR7 or any polynucleotide variants; and that undue experimentation would be

required by the skilled artisan to generate the infinite number of LGR7 variants recited in the claims and to

screen the same for activity.

Written description

Claims 1, 2, 4, 8-11, and 18-20 were rejected under 35 U.S.C.§112, first paragraph, as allegedly

lacking written description. In support of this rejection, the Office argued that the rejected claims contain

subject matter which was not described in the specification in such a way as to reasonably convey to one

skilled in the relevant art that the inventors, at the time the application was filed, has possession of the

claimed invention.

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APPELLANTS' RESPONSE TO THE REJECTIONS

Rejection under 35 U.S.C.§101

The rejection of claims 1, 2, 4, 7-11, and 18-20 under 35 U.S.C.§101 is in error. The claimed nucleic

acids have a well-established utility. The asserted utilities of use of the nucleic acids to provide the encoded

proteins; the use of the encoded proteins to identify ligands (e.g., agonists and antagonists); and the use of

the ectodomain portion of the encoded proteins in the neutralization of the action of an endogenous ligand

are well established utilities. In view of the structural similarity of LGR7 to a small family of peptide

hormone-binding GPCR, the asserted utilities for the claimed invention are well established.

Rejections under 35 U.S.C.§112, first paragraph

Enablement

i) The rejection of claims 1, 2, 4, 7-11, and 18-20 under 35 U.S.C.§112, first paragraph, as lacking

enablement, is in error. As noted above, the asserted utilities of use of the nucleic acids to provide the

encoded proteins; the use of the encoded proteins to identify agonists and antagonists; and the use of the

ectodomain portion of the encoded proteins in the neutralization of the action of an endogenous ligand are

well established utilities. Accordingly, those skilled in the art would know how to make and use the claimed

invention.

ii) The rejection of claims 1, 2, 4, 8-11, and 18-20 under 35 U.S.C.§112, first paragraph, as lacking

enablement, is in error. The specification provides ample description as to how to make and use the claimed

nucleic acids and polypeptides. The specification provides ample description of how to produce an LGR7

protein encoded by the nucleic acids. The specification provides ample description of how to screen a

sample for the presence of an LGR7 ligand. Accordingly, the specification, and consequently claims 1, 2, 4,

8-11, and 18-20, are in compliance with the enablement requirement of 35 U.S.C.§112, first paragraph.

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Written description

The rejection of claims 1, 2, 4, 8-11, and 18-20 under 35 U.S.C.§112, first paragraph, as lacking written description, is in error. The instant specification provides the nucleotide and amino acid sequences of an adequate number of species, such that those skilled in the art would have recognized that Appellants had possession of the claimed invention as of the priority date. In view of such, claims 1, 2, 4, 8-11, and 18-20 meet the written description requirement of 35 U.S.C.§112, first paragraph.

I. Whether claims 1, 2, 4, 7-11, and 18-20 meet the utility requirement of 35 U.S.C. § 101

The April 23, 2003 final Office Action rejected claims 1, 2, 4, 7-11, and 18-20 under 35 U.S.C. § 101 as allegedly lacking utility. The April 23, 2003 final Office Action stated that novel biological molecules lack well established utility and must undergo extensive experimentation. The April 23, 2003 final Office Action stated that the asserted utilities are credible, but not specific or substantial. The rejection of claims 1, 2, 4, 7-11, and 18-20 as allegedly lacking utility is in error.

The Utility Examination Guidelines (Federal Register 66, No. 4, January 5, 2001; hereinafter the "Utility Guidelines") provides instructions for examining patent applications for compliance with the utility requirement of 35 U.S.C. § 101. The Utility Guidelines provides a "Utility Review Flowchart" for reviewing patent applications for compliance with the utility requirement of 35 U.S.C. § 101.

The utility requirements under 35 U.S.C.§101 are also discussed in the Manual of a Patent Examining Procedure (MPEP) §2107. MPEP § 2107 provides that "if at any time during the examination, it becomes readily apparent that the claimed invention has a well-established utility, do not impose a rejection based on lack of utility." Moreover, this provision is also reflected in the Utility Review Flowchart, which states that if an invention does have a well established utility, a rejection under § 101 shall not be made.⁴

¹ Utility Examination Guidelines (Federal Register 66, No. 4, January 5, 2001), [hereinafter "The Utility Guidelines"].

² *Id*. at page 9.

³ MPEP § 2107, "II Examination Guidelines for the Utility Requirement".

⁴ The Utility Guidelines, page 9.

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Well established utility is defined in the Utility Guidelines as a "well known, immediately apparent, or

implied by the specification's disclosure of the properties of a material, alone or taken with the knowledge of

one skilled in the art."5

The utility requirement of 35 U.S.C.§101 may be satisfied in one of two ways. 1) A claimed

invention may have a well-established utility, in which case the well-established utility is assumed to be

specific, substantial, and credible. A well-established utility is present if the claimed invention has a

specific, substantial, and credible utility that would have been readily apparent to one of skill in the art in

view of the disclosure, alone or taken with the knowledge of one skilled in the art. 2) Where a claimed

invention does not have an apparent well-established utility, the utility requirement can be established by

specifically examining the specific, substantial, and credible utility of the claimed invention.

The rejection of claims 1, 2, 4, 7-11, and 18-20 under 35 U.S.C. § 101 is discussed in view of the

Utility Review Flowchart and MPEP §2107.

The claimed invention has a well established utility.

The instant invention as claimed has a well-established utility and therefore meets the utility

requirement of 35 U.S.C. § 101.

The Utility Guidelines provide numerous training examples with various hypothetical scenarios in

order to assist in a determination of whether a claimed invention has a well-established utility or, in the

absence of a well-established utility, has at least one asserted utility that is specific, substantial, and

credible.⁶ The facts of the present application are similar to those of the hypothetical scenario described in

Example 10 of the Utility Guidelines, wherein the analysis determined that the application did indeed

provide the requisite well-established utility.

5 The Utility Guidelines, at page 7.

6 Id. at pages 13-74.

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In pertinent part, Example 10 of the Utility Guidelines provides the following hypothetical scenario:

The specification discloses a Table that indicates that one member of the library having SEQ ID NO: 2 has a high level of homology to a DNA ligase. The specification teaches that this complete ORF (SEQ ID NO: 2) encodes SEQ ID NO: 3. An alignment of SEQ ID NO: 3 with known amino acid sequences of DNA ligases indicates that there is a high level of sequence conservation between the various known ligases. The overall level of sequence similarity between SEQ ID NO: 3 and the consensus sequence of the known DNA ligases that are presented in the specification reveals a similarity score of 95%. A search of the prior art confirms that SEQ ID NO: 2 has high homology to DNA Ligase encoding nucleic acids and that the next highest level of homology is to alpha-actin. However, the latter homology is only 50%. Based on the sequence homologies, the specification asserts that SEQ ID NO: 2 encodes a DNA ligase.

Based on these facts, the analysis provided in the Utility Guidelines concludes the following:

there is no reason to doubt the assertion that SEQ ID NO: 2 encodes a DNA ligase. Further, DNA ligases have a well-established use in the molecular biology art based on this class of protein's ability to ligate DNA.

* * *

Note that if there is a well-established utility already associated with the claimed invention, the utility need not be asserted in the specification as filed. In order to determine whether the claimed invention has a well-established utility the examiner must determine that the invention has a specific, substantial and credible utility that would have been readily apparent to one of skill in the art.⁸

A similar analysis and conclusion applies to the instant invention as claimed. The LGR7 nucleic acids and encoded polypeptides are structurally similar to a small, well-known group of GPCR that bind peptide hormones, e.g., the TSH receptor, the LH receptor, and the FSH receptor. Peptide hormone receptors have a well-established use in the art. Based on the disclosed close structural similarity of LGR7 to known peptide hormone-binding GPCR, LGR7 also has a well-established utility.

⁷ Id. at 53.

⁸ The Utility Guidelines, at pages 54-55.

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The claimed invention has a well-established utility that would have been readily apparent to

one of skill in the art, given the instant disclosure, alone or taken with the knowledge of one

skilled in the art.

In the present application, on page 3, lines 26-29, the specification states that the disclosed LGR7

polypeptides are novel mammalian G protein coupled receptor (GPCR), characterized by the presence of

extracellular leucine rich repeat regions. In addition, the specification also states that LGR7 polypeptides

function as a GPCR. Moreover, the specification also states on page 9, lines 20-27, that nucleic acids

encoding mammalian LGR7 polypeptides are useful for producing LGR7 polypeptides, which polypeptides

are asserted to function as GPCR, and on page 21, lines 12-15, the specification states that the LGR7 ligand

is a hormone.

The specification further states on page 11, lines 3-4; and page 21, lines 12-15, that the extracellular

domain can be solubilized and used to neutralize the activity of the endogenous ligand (e.g., a hormone.)

In addition, the specification states on page 11, lines 1-4; page 20, lines 8-14; and page 2, lines 13-14, that

the LGR7 polypeptides are useful for identification of a ligand for the GPCR; for screening for agonists

and antagonists.

The claimed nucleic acids are thus useful for producing LGR7 polypeptides, which polypeptides are

hormone receptors, and are useful for screening for ligands (e.g., agonists and antagonists), and for the

generation of soluble binding proteins for the neutralization of the action of an endogenous ligand.

Accordingly, based on the specification, one of skill in the art would readily appreciate the well-established

utility of the claimed polynucleotides.

As discussed during an Examiner Interview, which took place on October 9, 2003, the LGR-type

GPCR are not like other (non-LGR-type) GPCR. First, the LGR disclosed in the instant application include,

in addition to the 7 transmembrane structure typical of other GPCR, a leucine-rich extracellular domain at

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the amino terminus of the protein.⁹ This amino-terminal extracellular domain with leucine-rich repeats is

referred to in the specification as an "ectodomain" to emphasize the fact that it is extracellular. 10

The ectodomain of the LGR proteins is over 300 amino acids in length; the leucine rich repeat

portion of the ectodomain is approximately 200 amino acids in length; and the 7 transmembrane region is

approximately 250 amino acids in length. See, e.g., Figure 6 of the instant specification; and Exhibits 2-4,

provided along with an amendment filed on October 22, 2003 and responsive to the April 23, 2003 final

Office Action. Copies of Figure 6 and Exhibits 2-4 are provided herewith for convenience.

Apart from the LGR-type GPCR, no other GPCR has such an ectodomain. This striking difference

is illustrated in the accompanying figure entitled "Schematic representation of functional domains in LGR

family receptors," which was provided as Exhibit 1 along with an amendment filed on October 22, 2003 and

responsive to the April 23, 2003 final Office Action. A copy of Exhibit 1 is provided herewith for

convenience.

Other than LGR-type GPCRs, GPCR typically do not have an amino-terminal ectodomain that can

be expressed as soluble proteins and used to neutralize the activity of an endogenous hormone ligand. This

particular asserted utility of LGR-type GPCR is thus specific to LGR-type GPCR.

As illustrated on the world wide web site receptome.stanford.edu, there are hundreds of GPCR with

the typical 7 transmembrane structure. In contrast, fewer than 10 mammalian LGR-type GPCR had been

identified as of the priority date of the instant application.

As further discussed during the October 9, 2003 Examiner Interview, and as illustrated in Exhibits 1-

4, the disclosed LGR-type GPCR have an overall structure that is very similar to luteinizing hormone

receptor (LHR), follicle stimulating hormone receptor (FSHR; also referred to in the art as "follitropin

9 Specification, page 3, line 30 to page 4, line 1.

10 Id. at page 25, lines 18-19.

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receptor"), and thyroid stimulating hormone receptor (TSHR). 11 LHR, FSHR, and TSHR were known in the

art as of the priority date of the instant application. All three are hormone receptors. The relationship

between LGR-type GPCR and other GPCR, and among LGR-type GPCR, is illustrated in Figure 3 of Hsu et

al. ((2000) Molec. Endocrinol. 14:1257-1271; "Hsu (2000)," a copy of which was provided as Exhibit 2 in

the amendment, filed on February 3, 2003 and responsive to the November 6, 2002 Office Action.

As discussed during the October 9, 2003, Examiner Interview, the analysis of LGR7 was conducted

based on its structural similarity to human LHR, FSHR, and TSHR. As discussed in Hsu (2000), features of

the LGR7 could be identified based on the structural similarity to LHR. Hsu (2000) states that, based on an

alignment of the LGR7 amino acid sequence with those of LHR and TSHR, two different point mutations

were made in LGR7 that affected its function as a GPCR. Hsu (2000), page 1261, column 2, second full

paragraph, to page 1263, column 2, end of Results section. Thus, the function of LGR7 was determined

based on its close structural similarity to LHR and TSHR.

The fact that LGR7 bears a close structural relationship to the previously known LGR-type GPCRs

LHR and TSHR is illustrated in the alignments depicted in Exhibit 2-4, copies of which are provided

herewith. Exhibit 2 provides an amino acid sequence alignment of LGR7 with LHR. Exhibit 3 provides an

amino acid sequence alignment of LGR7 with TSHR. Exhibit 4 provides an amino acid sequence alignment

of LGR7 with TSHR, which alignment shows the locations of leucine-rich repeats (LRR). Exhibits 2-4

highlight the overall structural similarities among LGR-type GPCR, and between LGR7 and other LGR-type

GPCR.

Similar to the DNA ligase comparison in Example 10 of the Utility Guidelines, the claimed nucleic

acids share a striking structural similarity to a small, distinct set of well-characterized and well-understood

hormone receptors. As such, once the claimed nucleic acids of the present invention, and their striking

structural similarity to the other small class of LGR-type GPCR, were disclosed, their utility would have

been apparent to one of skill in the art. Accordingly, akin to the hypothetical scenario of Example 10

11 Id. at page 3, lines 29-30.

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presented in the Utility Guidelines, a well-established utility was already associated with the claimed nucleic

acids and polypeptides of the present invention, as would have been readily apparent to one of skill in the art.

The data presented in Hsu (2002) provide further evidence for the fact that, as asserted in the

specification, LGR7 is a GPCR and binds a hormone.

As discussed during the October 9, 2003 Examiner Interview, the disclosed LGR7 polypeptide, like

LHR, FSHR, and TSHR, binds a hormone, functions as a GPCR, and has signal transduction properties

similar to those of LHR. Indeed, on page 21, lines 12-15, the instant specification asserts that LGR7 is a

hormone receptor.

The fact that the instant claims are supported by a well-established utility is further demonstrated in

Hsu et al. ((2002) Science 295:671-674; "Hsu (2002)", a copy of which was provided as Exhibit 1 along with

the amendment, filed on February 3, 2003 and responsive to the November 6, 2002 Office Action), a

publication co-authored by inventors Sheau Y. Hsu and A.J.W. Hsueh. Hsu (2002) states that LGR7 binds

the hormone relaxin, and that relaxin activates adenylate cyclase through G_S proteins upon relaxin binding.

Hsu (2002), page 672, column 1, last paragraph; and Figure 1. Thus, Hsu (2002) provides further evidence

for the fact that, as asserted, LGR7 functions as a GPCR, and is a hormone receptor.

The data presented in Hsu (2002) provide further evidence for the fact that, as asserted in the

specification, the solubilized ectodomain of LGR7 is useful to neutralize the activity of an

endogenous hormone ligand of LGR7.

The instant specification asserts that solubilized LGR7 ectodomain is useful to neutralize the activity

of the endogenous hormone ligand of LGR7. The specification, on page 21, lines 12-15, asserts that the

ectodomain of LGR7 can be used to neutralize the activity of an endogenous hormone ligand of LGR7. As

discussed above, this particular well-established utility is specific to LGR-type GPCR, i.e., it would not

apply to any GPCR, but to a very small, specific subset of GPCR which share the ectodomain feature.

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Hsu (2002) states that 7BP, a soluble ectodomain of LGR7, antagonizes the action of the endogenous hormone ligand of LGR7, i.e., relaxin. Hsu (2002), page 673, Figure 4; and column 2. Thus, Hsu (2002) demonstrates that LGR7 is useful for the generation of functional binding proteins that neutralize the action of an endogenous hormone ligand of LGR7, as asserted in the instant specification.

The Examiner has not met the initial burden to establish a prima facie case of lack of wellestablished utility.

In the Advisory Action (as well as in previous Office Actions), the Examiner stated that "[N]ovel biological molecules lack well established utility and must undergo extensive experimentation." Advisory Action, page 2. The Examiner has provided no basis in reasoning for such a statement. Such a sweeping general statement is insufficient to establish that a claimed invention lacks a well established utility. As stated in MPEP §2107.01, it is imperative that Office personnel use specificity in setting forth an initial rejection under 35 U.S.C.§101 and support any factual conclusions made in the prima facie showing. In asserting that novel biological molecules lack well established utility and must undergo extensive experimentation, the Examiner has swept the "well-established utility" question away in one sentence without any explanation or well-reasoned statements. Such is not a proper analysis of the utility requirement of 35 U.S.C.§101.

Indeed, the Utility Guidelines make no such statement that "novel biological materials lack wellestablished utility." For example, in Example 10 of the Utility Guidelines, the exemplary DNA ligaseencoding nucleic acid may well be a novel biological material and still be deemed to have a well-established utility.

Conclusion

As presented above, in view of the fact that LGR7 polypeptides were shown to belong to a very small, distinct subset of GPCR with well-known and well-established functions (and therefore specific, substantial, and credible utility), the utility of the claimed invention would have been readily apparent to one of skill in the art in view of the disclosure, alone or taken with the knowledge of one skilled in the art. In summary, the instant invention as claimed has a well-established utility, because the claimed invention has a

specific, substantial, and credible utility that would have been readily apparent to one of skill in the art in

view of the disclosure, alone or taken with the knowledge of one skilled in the art.

The claimed invention has at least one specific, substantial, and credible asserted utility

Even if one were to take the position, as the Examiner has done, that the claimed invention does not

have a well established utility, and Appellants do not take this position, the specification of the present

application does make an assertion of at least one utility for the claimed invention that is specific,

substantial, and credible. The April 23, 2003 final Office Action stated that the asserted utilities are credible,

but not specific or substantial. Thus, the Examiner acknowledged that at least one asserted utility is credible.

Appellants submit that the specification provides at least one utility for the claimed invention that is specific

and substantial.

The Utility Guidelines provide Example 12 as an aid for determining whether an asserted utility for

an invention is specific, substantial and credible. Example 12 poses a hypothetical situation in which an

applicant files an application that discloses, in pertinent part, the following:

a protein, isolated from a cell membrane preparation, which is the binding partner for protein X. The specification does not characterize the isolated protein with regard to

its biological function or any disease or body condition that is associated with the isolated protein. Based solely on the fact that the protein was isolated from a cell membrane and it binds to protein X, applicant characterizes the isolated protein as

receptor A. The function of protein X has also not been identified. The specification discloses a binding assay for determining other materials which bind to the

receptor...¹²

The Utility Guidelines conclude that in the hypothetical scenario of Example 12 specific utility is

present for claims directed to the isolated receptor, as well as methods for identifying materials which bind

12 The Utility Guidelines, at page 63.

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to the receptor. 13 The specific utility in such a hypothetical scenario was based on the observation that "the

methods are not applicable to the general class of receptors."14

Analogous to Example 12 of the Utility Guidelines, the present application states on page 11, lines 3-

4; and page 21, lines 12-15, that the extracellular domain can be solubilized and used to neutralize the

activity of the endogenous ligand (e.g., a hormone.) In addition, the specification states on page 11, lines 1-

4; page 20, lines 8-14; and page 2, lines 13-14, that the LGR7 polypeptides are useful for identification of a

ligand for the GPCR; for screening for agonists and antagonists. Accordingly, akin to the analysis of

Example 12 of the Utility Guidelines, methods of identifying materials which bind to claimed receptors of

the present application are not applicable to the general class of receptors. Instead, as discussed in ample

detail above, LGR7 belongs to a small, distinct subset of GPCR with well-known functions. Therefore,

there is an asserted specific utility for the claimed invention.

However, with respect to substantial utility, the analysis of Example 12 of the Utility Guidelines

concludes that the substantial utility is not present because no information was provided on the receptor or

the compounds that binds the receptor.

In contrast to the hypothetical scenario of Example 12 of the Utility Guidelines, the present

application, on page 3, lines 26-29, asserts that the human LGR7 polypeptide is a novel mammalian GPCR,

characterized by the presence of extracellular leucine rich repeat regions, and as such belongs to a small

subset of GPCR, including hormone-binding GPCR. In addition, the specification also asserts that the

LGR7 polypeptide functions as a GPCR. Moreover, the specification also states on page 9, lines 20-27, that

nucleic acids encoding mammalian LGR7 polypeptides are useful for producing LGR7 polypeptides, which

polypeptides are asserted to function as GPCR, and on page 21, lines 12-15, the specification asserts that the

LGR7 ligand is a hormone.

13 The Utility Guidelines, at page 65-67.

14 Id. at page 67.

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Accordingly, unlike the hypothetical receptor of Example 12 presented in the Utility Guidelines, the

instant specification does indeed disclose information regarding the receptor, the endogenous ligand for the

receptor, as well as a context for use of the receptor. Therefore, the present application does assert a

substantial utility.

Example 12 of the Utility Guidelines further notes a caveat, i.e., that if the specification also

discloses information on the receptor, the analysis would be changed since a well-established utility for the

claimed receptor would be apparent.¹⁵ As noted above, unlike the hypothetical scenario of Example 12 of

the Utility Guidelines, the present specification discloses ample information on the claimed receptor and its

ligand. Therefore, as concluded above, a specific, substantial, and credible utility is present for the claimed

invention.

The Final Office Action has not established a prima facie case for lack of utility

As set forth in MPEP§2107.01, the Office must A) make a prima facie showing that the claimed

invention lacks utility; and B) provide a sufficient evidentiary basis for factual assumptions relied upon in

establishing the prima facie showing. The Patent Office must set forth factual reasons which would lead one

skilled in the art to question the objective truth of the statement of operability. The prima facie showing

must be set forth in a well-reasoned statement. The statement must articulate sound reasons why a person of

ordinary skill in the art would conclude that it is more likely than not that an asserted utility is not credible.

The statement should specifically identify the scientific basis of any factual conclusions made in the prima

facie showing. The statement must also explain why any evidence of record that supports the asserted utility

would not be persuasive to one of ordinary skill.

It is well established that "a specification which contains a disclosure of utility which corresponds in

scope to the subject matter sought to be patented must be taken as sufficient to satisfy the utility requirement

of §101 for the entire claimed subject matter unless there is a reason for the skilled in the art to question the

15 The Utility Guidelines, page 70.

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objective truth of the statement of utility or its scope." *In re Langer* 183 USPQ 288, 297 (CCPA 1974) (emphasis in original).

The final Office Action stated that although Hsu (2000) demonstrate that LGR7 could be utilized to identify relaxin, the specification does not suggest this utility. However, as noted above, the specification states that an LGR7 protein binds a hormone. In view of the structural similarity of LGR7 to other GPCR that share the feature of having a large ectodomain and that bind hormone ligands, the utility would have been readily apparent to one of skill in the art in view of the disclosure, alone or taken with the knowledge of one skilled in the art.

The Advisory Action stated that, "although the specification of the instant application teaches that LGR-7 has an ectodomain, there is no disclosure of the location of the ectodomain with LGR7's amino acid sequence or as to how long it is." Advisory Action, page 2. However, Exhibits 2-4, which were previously provided to the Examiner, show the position of the ectodomain of LGR7. Figure 6 as filed with the application shows the position of the ectodomain in LGR4 and LGR5. The amino acid sequences of LHR, FSHR, and TSHR were known as of the filing date of the instant invention. Thus, there was ample guidance in the specification that would have allowed any person skilled in the art to perform the same amino acid sequence alignment as provided in Exhibits 2-4, compare such alignments with those depicted in Figure 6 as filed, and identify the position of the ectodomain of LGR7. Indeed, Figure 2 of Hsu (2000) provides an amino acid sequence alignment of LGR7, points out the leucine-rich repeats that are characteristic of the ectodomain, and shows the site of the beginning of the first transmembrane domain, thereby delineating the ectodomain. Anyone skilled in the art, given the amino acid sequences provided in the instant specification, the publicly available LHR, FSHR, and TSHR amino acid sequences, and using Figure 6 as a guide, could have readily identified the ectodomain of LGR7.

The Advisory Action further stated that the specification discloses nothing specific about LGR7's hormone ligand. However, as discussed above in detail, the total number of LGR-type GPCR that were known as of the filing date of the instant application was very small (fewer than 10 members). In addition,

as noted above, LHR, TSHR, and FSHR all bind peptide hormones. The total number of peptide hormones that were known as of the filing date of the instant application was also very small, i.e., approximately 50. Thus, it was concluded that LGR7 also binds a hormone; that the ectodomain would be useful as an LGR7 antagonist; and that LGR7 could be used to identify LGR7 agonists and antagonists. In view of the well-known structure of LHR, TSHR, and FSHR, and the overall structural similarity of LGR7 to LHR, TSHR, and FSHR, at least one specific, substantial, and credible utility for the claimed invention would have been readily apparent to one of skill in the art in view of the disclosure, alone or taken with the knowledge of one skilled in the art.

Conclusion as to the rejection under 35 U.S.C.§101

The instant invention as claimed has a well established asserted utility, because at least one specific, substantial, and credible utility for the claimed invention would have been readily apparent to one of skill in the art in view of the disclosure, alone or taken with the knowledge of one skilled in the art. Furthermore, the instant invention as claimed has an asserted utility that is specific, substantial, and credible.

II. WHETHER CLAIMS 1, 2, 4, 7-11, AND 18-20 MEET THE ENABLEMENT REQUIREMENT OF 35 U.S.C. §112, FIRST PARAGRAPH

Claims 1, 2, 4, 7-11, and 18-20 were rejected under 35 U.S.C.§112, first paragraph, as allegedly lacking enablement. In support of this rejection, the Office argued that since the claimed invention is not supported by either a specific and substantial asserted utility or a well established utility, one skilled in the art would not know how to use the claimed invention. The rejection of claims 1, 2, 4, 7-11, and 18-20 as allegedly lacking enablement is in error.

Claims 1, 2, 4, 8-11, and 18-20 were rejected under 35 U.S.C.§112, first paragraph, as allegedly lacking enablement. In support of this rejection, the Office argued that the specification does not teach the functional characteristics of LGR7 or any polynucleotide variants; and that undue experimentation would be required by the skilled artisan to generate the infinite number of LGR7 variants recited in the claims and to

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screen the same for activity. The rejection of claims 1, 2, 4, 8-11, and 18-20 as allegedly lacking enablement is in error.

Claims 1, 2, 4, 7-11, and 18-20

The final Office Action stated that "since the claimed invention is not supported by either a specific

and substantial asserted utility or a well established utility for the reasons set forth above, one skilled in the

art clearly would not know how to use the claimed invention." Final Office Action, page 7.

As discussed in ample detail above, the claimed invention complies with the utility requirement of 35

U.S.C.§101. Accordingly, this rejection is rendered moot.

Claims 1, 2, 4, 8-11, and 18-20

The Advisory Action stated that the specification does not teach the functional characteristics of

LGR7 or any polynucleotide variants.

The specification teaches LGR7 nucleic acid and polypeptide variants.

The specification discusses various polypeptide fragments of LGR7. Specification, page 9, line 5 to

page 11, line 17. Furthermore, the specification provides the nucleotide and amino acid sequences of at least

two LGR7 polypeptides. As shown in Figure 5, the polynucleotides identified as SEQ ID NO:05 and SEQ

ID NO:07 encode the polypeptides identified as SEQ ID NO:06 and 08, respectively. Both SEQ ID NO:06

and 08 are LGR7 polypeptides. The specification states that the LGR7 polypeptides are encoded by splice

variants. Specification, page 25, lines 15-25. As noted above, the specification states that LGR7 binds a

hormone.

The specification teaches fragments of LGR7 and discusses the functional characteristics of such

fragments.

The specification discusses the extracellular domain of LGR7, and states that this ectodomain is

useful, e.g., in the neutralization of the action of endogenous ligands. Specification, page 11, lines 1-4; and

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page 21, lines 12-15. The specification discusses the structure of LGR7, and states that LGR7 contains a leucine-rich repeat-containing ectodomain. Specification, page 25, lines 15-19. Figure 6 of the instant application shows an alignment of LGR4, LGR5, LHR, FSHR, and TSHR, and shows the position of the ectodomain. It would require nothing more than the skill of one ordinarily skilled in the art to include LGR7 in the alignment to determine the ectodomain. Indeed, Hsu (2002) did just that, and generated a soluble LGR7 ectodomain. As discussed above, Hsu (2002) demonstrated that a soluble extracellular domain of LGR7 functions as an antagonist to LGR7, neutralizing the action of the ligand relaxin. Thus, those skilled in the art, given the guidance in the specification, would know which fragments of LGR7 would be expected to function as discussed in the specification.

Based on the guidance in the specification, those skilled in the art could make variants of LGR7 and predict their function.

Based on the alignments provided in Figure 6, those skilled in the art could readily determine, without undue experimentation, those amino acids of LGR7 that could be altered without changing the function of LGR7, and those amino acid residues that could be altered to result in a change of LGR7 function. The fact that those skilled in the art could readily identify amino acid residues essential for function is demonstrated in Hsu (2000). Hsu (2000) states that, based on an alignment of the LGR7 amino acid sequence with those of other hormone-binding GPCR, point mutations were made in LGR7 that affected its function as a GPCR. Hsu (2000), page 1261, column 2, second full paragraph, to page 1263, column 2, end of Results section. Thus, given the information provided in the instant specification, those skilled in the art could readily and without undue experimentation identify and mutate amino acid residues important for the function of an LGR7 polypeptide as a GPCR.

The final Office Action cited various references to support the assertion that predicting protein and DNA structure from sequence data is problematic. However, as noted above, Appellants showed that the amino acid sequence of LGR7 could be aligned with the amino acid sequence of other LGR-type GPCR, and amino acids could be successfully identified that altered the function, or that had no effect on the function, of LGR7. Accordingly, those skilled in the art could, without undue experimentation, do exactly as Appellants

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did, using nothing more than the information provided in the specification, and identify, make, and use

LGR7 variants.

The Advisory Action stated that undue experimentation would be required by the skilled artisan to

generate the infinite number of LGR7 variants recited in the claims and to screen the same for activity.

The courts have clearly taught that the fact that experimentation may be complex does not necessarily

make it undue, if the art typically engages in such experimentation. For example, see MPEP §2164.01. 16

As the court explained¹⁷:

"[A] considerable amount of experimentation is permissible, if it is merely routine, or

if the specification in question provides a reasonable amount of guidance with respect

to the direction in which the experimentation should proceed."

Practitioners in the chemical molecular biology arts frequently engage in extensive modification of

reaction conditions and complex and lengthy experimentation where many factors must be varied to succeed

in performing an experiment or in producing a desired result. The Federal Circuit has found that such

extensive experimentation is not undue in the molecular biology arts. For example, the court concluded that

extensive screening experiments, while being voluminous, were not undue in view of the art which routinely

performs such long experiments.¹⁸

The skill level in the art is high. The relevant ordinarily skilled artisan is generally a skilled

laboratory technician with experience in molecular biology and/or a scientist with the equivalent of a

doctoral degree, and experience with molecular biology techniques. Furthermore, such artisans are required

to keep abreast of the latest technology through continuing education and reading of scientific journal

articles. As such, the skill level of those developing and using methods for manipulating DNA, producing a

protein encoded by the DNA, and performing functional assays on the encoded protein, was high as of the

priority date of the instant application.

16 See also In re Certain Limited-Charge Cell Culture Microcarriers, 221 USPQ 1165, 1174 (Int'l Trade Comm'n 1983), aff'd sub nom., Massachusetts Institute of Technology v. A.B. Fortia, 227 USPQ 428 (Fed. Cir. 1985).

17 In re Wands 8 USPQ 2d at 1404

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Indeed, as discussed above, the fact that those skilled in the art, given the information in the instant

application in combination with the general knowledge in the art, could readily identify amino acid residues

essential for function is demonstrated in Hsu (2000). Hsu (2000), carrying out nothing more than routine

experimentation, identified amino acid residues essential for function of the LGR7. Thus, given the

information provided in the instant specification, combined with the skill and knowledge in the art, those

skilled in the art could readily and without undue experimentation identify and mutate amino acid residues

important for the function of an LGR7 polypeptide as a GPCR.

Furthermore, Hsu (2002), carrying out nothing more than routine experimentation, generated a

soluble LGR7 ectodomain, and demonstrated that a soluble extracellular domain of LGR7 functions as an

antagonist to LGR7, neutralizing the action of the ligand relaxin. Thus, those skilled in the art, given the

guidance in the specification, would know which fragments of LGR7 would be expected to function as

discussed in the specification.

Conclusion as to the enablement rejections under 35 U.S.C.§112, first paragraph

Claims 1, 2, 4, 7-11, and 18-20 are not properly rejected under 35 U.S.C.§112, first paragraph, for

lack of enablement, on the basis that they lack utility and therefore those skilled in the art would not know

how to make and use the claimed invention. As discussed in ample detail above, the instant invention as

claimed meets the utility requirement of 35 U.S.C.§101, and as such the claims are not properly rejected

under 35 U.S.C.§112, first paragraph, in connection with a utility rejection.

Claims 1, 2, 4, 8-11, and 18-20 meet the enablement requirements of 35 U.S.C.§112, first paragraph.

The instant specification provides ample detail as to how to make and use LGR7 nucleic acids as claimed;

LGR7 polypeptides as claimed; and methods of screening for an LGR7 ligand, without the need for undue

experimentation. Accordingly, claims 1, 2, 4, 8-11, and 18-20 meet the enablement requirements of 35

U.S.C.§112, first paragraph.

18 Hybritech v. Monoclonal Antibodies, Inc. 231 USPQ 81 (Fed. Cir. 1986)

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III. Whether claims 1, 2, 4, 8-11, and 18-20 meet the written description requirement of 35

U.S.C. §112, FIRST PARAGRAPH

The Advisory Action stated that the "description of two LGR7 polynucleotides and polypeptides in

the specification is not a representative number of embodiments to support the description of an entire genus

of functionally equivalent polynucleotides and polypeptides which incorporate all mutants, derivatives, and

fragments having at least 80% identity to the nucleic acid sequence of SEQ ID NO:7 and the amino acid

sequence of SEQ ID NO:8." Advisory Action, page 3. The rejection of claims 1, 2, 4, 8-11, and 18-20 as

allegedly lacking written description is in error.

The Written Description Guidelines

The Guidelines for Examination of Patent Applications Under the 35 U.S.C. §112, paragraph 1

"Written Description" Requirement (Federal Register 66, No. 4, January 5, 2001; hereinafter the "Written

Description Guidelines") provides instructions for examining patent applications for compliance with the

written description requirement of 35 U.S.C.§112, first paragraph.

The Written Description Guidelines state:

(1) There is a strong presumption that an adequate written description of the claimed invention is

present when the application is filed;

(2) The Examiner has the initial burden of presenting evidence or reasons why a person skilled in the

art would not recognize that the written description of the invention provides support for the claims;

(3) Consequently, rejection of an original claim for lack of written description should be rare;

(4) An Examiner should review the entire application to understand how Applicant provides support

for the claimed invention; and

(5) Such a review is conducted from a standpoint of one of skill in the art at the time the application

was filed and should include a determination of the field of the invention and the level of skill and knowledge

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in the art (emphasis added). 19

As stated in the Written Description Guidelines, "In most technologies which are mature, and wherein the knowledge and level of skill in the art is high, a written description question should not be

raised for original claims even if the specification discloses only a method of making the invention and the

function of the invention." Written Description Guidelines, page 1106, emphasis added. The Written

Description Guidelines are based in part on University of California v. Eli Lilly and Co., 43 USPQ2d 1398

(Fed. Cir.1997). It should be remembered that *University of California v. Eli Lilly and Co.*, (Fed. Cir.1997)

was based on a patent that was filed in 1977, i.e., over 20 years ago, when the level of skill in the art was not

at the level that it was as of the filing date of the instant application.

The Written Description Guidelines state that the written description requirement for a claimed genus

may be satisfied through sufficient description of a representative number of species; and that a

"representative number of species" means that the species which are adequately described are representative

of the entire genus. The Written Description Guidelines state that there may be situations in which one

species adequately supports a genus; and that what constitutes a "representative number" is an inverse

function of the skill and knowledge in the art.²⁰

The Examiner has not reviewed the instant claims for compliance with the written description

requirement in a manner consistent with the Written Description Guidelines.

The Examiner has merely stated that the description of two LGR7 polynucleotides and polypeptides

in the specification of the instant application is not a representative number of embodiments to support the

description of an entire genus of functionally equivalent polynucleotides and polypeptides. The Examiner

has not presented evidence or reasons why a person skilled in the art would not recognize that the written

description of the invention provides support for the claims.

19 Written Description Guidelines, at page 1105.

20 Written Description Guidelines, page 1106.

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The Examiner has <u>not</u> conducted a review of the claims from a standpoint of one of skill in the art at

the time the application was filed and should include a determination of the field of the invention and the

level of skill and knowledge in the art. Had the claims been examined from the standpoint of one of skill in

the art as of the March 26, 1998 priority date of the instant application, the claims could not have reasonably

been rejected as lacking adequate written description, because those skilled in the art would have concluded

that Appellants had possession of the claimed invention.

The Advisory Action stated that the "description of two LGR7 polynucleotides and polypeptides in

the specification is not a representative number of embodiments to support the description of an entire genus

of functionally equivalent polynucleotides and polypeptides which incorporate all mutants, derivatives, and

fragments having at least 80% identity to the nucleic acid sequence of SEQ ID NO:7 and the amino acid

sequence of SEQ ID NO:8." Advisory Action, page 3.

However, as stated in the Written Description Guidelines, what constitutes a "representative number"

is an inverse function of the skill and knowledge in the art. 21 The Examiner has failed to take into account

the level of skill of those in the relevant art as of the March 26, 1998 priority date of the instant application.

The skill and knowledge in the art relating to protein sequence, structure, and function was so high as of the

March 26, 1998 priority date that, given the instant disclosure, those skilled in the art would immediately

recognize that Appellants had in their possession the claimed nucleic acids and polypeptides.

The instant specification provides adequate written description for the claimed invention.

The specification provides the nucleotide and amino acid sequences of at least two LGR7

polypeptides. As shown in Figure 5, the polynucleotides identified as SEQ ID NO:05 and SEQ ID NO:07

encode the polypeptides identified as SEQ ID NO:06 and 08, respectively. Both SEQ ID NO:06 and 08 are

LGR7 polypeptides. The specification states that the LGR7 polypeptides are encoded by splice variants.

Specification, page 25, lines 15-25. Furthermore, as discussed above, the specification provides a

description of various fragments of LGR7 polypeptides, e.g., a soluble ectodomain of LGR7, and uses

21 Written Description Guidelines, page 1106.

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thereof. Thus, the specification provides adequate written description for the claimed nucleic acids and

polypeptides.

Conclusion as to the rejection under 35 U.S.C.§112, first paragraph

The instant specification provides the nucleotide and amino acid sequences of an adequate number of

species, such that those skilled in the art would have recognized that Appellants had, as of the priority date,

possession of the claimed invention. In view of such, claims 1, 2, 4, 8-11, and 18-20 meet the written

description requirement of 35 U.S.C.§112, first paragraph.

SUMMARY

Conclusion as to the rejection under 35 U.S.C.§101

The instant invention as claimed meets the utility requirement of 35 U.S.C.§101. The instant

invention as claimed has a well established utility. At least one specific, substantial, and credible utility for

the claimed invention would have been readily apparent to one of skill in the art in view of the disclosure,

alone or taken with the knowledge of one skilled in the art. Furthermore, the instant invention as claimed

has at least one asserted utility that is specific, substantial, and credible.

Conclusion as to the rejections under 35 U.S.C.§112, first paragraph

Claims 1, 2, 4, 7-11, and 18-20 are not properly rejected under 35 U.S.C.§112, first

paragraph, for lack of enablement, on the basis that they lack utility and therefore those

skilled in the art would not know how to make and use the claimed invention. As discussed

in ample detail above, the instant invention as claimed meets the utility requirement of 35

U.S.C.§101, and as such the claims are not properly rejected under 35 U.S.C.§112, first

paragraph, in connection with a rejection under 35 U.S.C.§101.

Claims 1, 2, 4, 8-11, and 18-20 meet the enablement requirements of 35 U.S.C.§112, first

paragraph. The instant specification provides ample detail as to how to make and use LGR7

nucleic acids as claimed; LGR7 polypeptides as claimed; and methods of screening for an

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LGR7 ligand, without the need for undue experimentation. Accordingly, claims 1, 2, 4, 8-11,

and 18-20 meet the enablement requirements of 35 U.S.C.§112, first paragraph.

• The instant specification provides the nucleotide and amino acid sequences of an adequate

number of species, such that those skilled in the art would have recognized that Appellants

had, as of the priority date, possession of the claimed invention. In view of such, claims 1, 2,

4, 8-11, and 18-20 meet the written description requirement of 35 U.S.C.§112, first

paragraph.

RELIEF REQUESTED

Appellants respectfully request that the rejection of claims 1, 2, 4, 7-11, and 18-20 under 35 U.S.C.

§101, and the rejections of claims 1, 2, 4, 7-11, and 18-20, and of claims 1, 3, 4, 8-11, and 18-20, under 35

U.S.C. §112, first paragraph, be reversed, and that the application be remanded to the Examiner with

instructions to issue a Notice of Allowance.

Respectfully submitted,

BOZICEVIC, FIELD & FRANCIS LLP

Date:

May 21, 2004

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Atty Dkt. No.: STAN084 USSN: 09/647.067

APPENDIX OF APPEALED CLAIMS

- 1. An isolated nucleic acid encoding a mammalian leucine-rich repeat-containing G-protein coupled receptor 7 (LGR7) protein, wherein the LGR7 protein comprises an amino acid sequence having at least 80% amino acid sequence identity to the sequence set forth in SEQ ID NO:08.
- 2. An isolated nucleic acid according to Claim 1, wherein said mammalian protein has the amino acid sequence of SEQ ID NO:08.
- 4. An isolated nucleic acid according to Claim 1, wherein the nucleotide sequence of said nucleic acid has the sequence set forth in SEQ ID NO:07 or the complementary sequence thereof.
- 7. An isolated nucleic acid that hybridizes under stringent conditions at 50°C or higher in a solution of 15 mM sodium chloride, 1.5 mM sodium citrate to a nucleic acid having the nucleotide sequence set forth in SEQ ID NO:07 or the complete complementary sequence thereof.
- 8. An expression cassette comprising a transcriptional initiation region functional in an expression host, a nucleic acid having a sequence of the isolated nucleic acid according to Claim 1 under the transcriptional regulation of said transcriptional initiation region, and a transcriptional termination region functional in said expression host.
- 9. A cell comprising an expression cassette according to Claim 8 as part of an extrachromosomal element or integrated into the genome of a host cell as a result of introduction of said expression cassette into said host cell, and the cellular progeny of said host cell.

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10. A method for producing a mammalian leucine-rich repeat-containing G-protein coupled receptor 7 (LGR7) protein, wherein the LGR7 protein comprises an amino acid sequence having at least 80% amino acid sequence identity to the sequence set forth in SEQ ID NO:08, said method comprising: growing a cell according to Claim 9, whereby said mammalian protein is expressed; and isolating said protein substantially free of other proteins.

- 11. A purified polypeptide composition comprising a mammalian leucine-rich repeat-containing G-protein coupled receptor 7 (LGR7) protein or a fragment thereof, wherein the LGR7 protein is at least about 80% pure, and wherein the LGR7 protein comprises an amino acid sequence having at least 80% amino acid sequence identity to the sequence set forth in SEQ ID NO:08.
- 18. A method of screening a sample for the presence of a ligand for leucine-rich repeatcontaining G-protein coupled receptor 7 (LGR7) receptor, said method comprising:

contacting said sample with an LGR7 receptor, wherein the LGR7 receptor comprises an amino acid sequence having at least 80% amino acid sequence identity to the sequence set forth in SEQ ID NO:08, and

detecting the presence of binding between said receptor and ligand in said sample.

- 19. The nucleic acid of claim 1, wherein said LGR7 protein comprises an amino acid sequence having at least about 90% amino acid sequence identity to the sequence set forth in SEQ ID NO:08.
 - 20. The nucleic acid of claim 1, wherein said LGR7 protein binds a hormone.



Schematic representation of functional domains in LGR family receptors

	Leucine-rich repeat	Hinge region	7TM and cytoplasmic domains	FSHR
Type A				LHR
				TSHR
				LGR4
Type B				LGR5
				LGR6
Type C				LGR7
				LGR8
	LDL receptor-like cysteine-rich motif	·	·	
			7TM and cytoplasmic	
		Ī.	domains	Other
				(non LGR-type) GPCR

Alignment of LGR7 with LH receptor

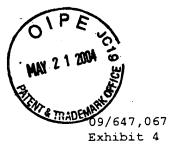
Identities = 148/636 (23%), Positives = 289/636 (45%) LGR7: 89 EAETPECLVGSVPVQCLCQGLELDCDETNLRAVPSVSSNVTAMSLQWNLIRKLPPDCFKN 148 EA PE P C+ G L C P ++ +T +SL + ++ +P F+ LHR : 27 EALCPE-----PCNCVPDGA-LRC-----PGPTAGLTRLSLAYLPVKVIPSQAFRG 71 LGR7 : 149 YHDLQKLYL-QNNKITSISIYAFRGLNSLTKLYLSHNR-ITFLKPGVFEDLHRLEWLIIE 206 +++ K+ + O + + I AF L +L+++ + + + +++PG F +L L++L I LHR : 72 LNEVIKIEISOIDSLERIEANAFDNLLNLSEILIONTKNLRYIEPGAFINLPGLKYLSIC 131 LGR7 : 207 DNHLSRISPPT--FYGLNSLILLVLMNNVLTRLPDKPLCQHMPRLHWLDLEGNHIHNLRN 264 + + + T F ++ IL + N +T +P L L GN LHR : 132 NTGIRKFPDVTKVFSSESNFILEICDNLHITTIPGNAFQGMNNESVTLKLYGNGFEEVQS 191 LGR7 : 265 LTFISCSNLTVLVMRKN-KINHLNENTFAPLQKLDELDLGSNKIENLPPLIFKDLKEL-- 321 F + + LT L + + + N + + + FLD+ S K++ LP + ++ L LHR : 192 HAF-NGTTLTSLELKENVHLEKMHNGAFRGATGPKTLDISSTKLQALPSYGLESIQRLIA 250 LGR7 : 322 -SQLNLSYNPIQKIQANQFD------YLVKLKSLSLEGIEISNI------ 358 S +L P ++ N + ++L + S+ LHR : 251 TSSYSLKKLPSRETFVNLLEATLTYPSHCCAFRNLPTKEQNFSHSISENFSKQCESTVRK 310 → TM1 LGR7 : 359 -- QQRMFRPLMNLSHIYFKKFQYCGYAPHVRSCKPNTDGISSLENLLASIIQRVFVWVVS 416 + ++ ++ S + ++Y P C P D + E+++ RV +W+++ LHR : 311 VSNKTLYSSMLAESELSGWDYEYGFCLPKTPRCAPEPDAFNPCEDIMGYDFLRVLIWLIN 370 LGR7: 417 AVTCFGNIFVICMRPYIRSENKLYAMSIISLCCADCLMGIYLFVIGGFDLKFRGEYNKHA 476 + GN+ V+ + R + + + + L AD MG+YL +I D + +G+Y HA LHR : 371 ILAIMGNMTVLFVLLTSRYKLTVPRFLMCNLSFADFCMGLYLLLIASVDSQTKGQYYNHA 430 LGR7 : 477 QLWMESTHCQLVGSLAILSTEVSVLLLTFLTLEKYICIVYPFRCVRPGKCR-TITVLILI 535 W + C G + ++E+SV LT +TLE++ I Y + + R I +++LHR : 431 IDWQTGSGCSTAGFFTVFASELSVYTLTVITLERWHTITYAIHLDQKLRLRHAILIMLGG 490 LGR7 : 536 WITGFIVAFIPLSNKEFFKNYYGTNGVCFPLHSEDTESIGAQIYSVAIFLGINLAAFIII 595 W+ ++A +PL NY ++CFP+ D E+ +Q+Y + I ++N+ AF IILHR : 491 WLFSSLIAMLPLVG---VSNYMKVS-ICFPM---DVETTLSQVYILTILI-LNVVAFFII 542 LGR7 : 596 VFSYGSMFYSVHQSAITATEIRNQVKKEMILAKRFFFIVFTDALCWIPIFVVKFLSLLQV 655 Y ++++V + AT K+ +AK+ ++FTD C PI + +V LHR : 543 CACYIKIYFAVRNPELMAT-----NKDTKIAKKMAILIFTDFTCMAPISFFAISAAFKV 596 LGR7 : 656 EIPGTITSWVVIFIL--PINSALNPILYTLTTRPFK 689 + T+T+ V+ +L PINS NP LY + T+ F+ LHR : 597 PLI-TVTNSKVLLVLFYPINSCANPFLYAIFTKTFQ 631

Alignment of LGR7 with TSH receptor

LGR7	:	106	LPPDCFKNY-HDLQKLDLQNNKITSISIYAFRGLNSLTKLYLSHNR-ITFLKPGVFEDLH 1 +P + F+ ++ L L NN TS+ YAF G L +YL+ N+ +T + F ++	63
TSHR	:	167	IPVNAFQGLCNETLTLKLYNNGFTSVQGYAFNG-TKLDAVYLNKNKYLTVIDKDAFGGVY 2	25
LGR7	:	164	RLEWLIIEDNHLSRISPPTFYGLNSLILLVLMNN-VLTRLPDKPLCQHMPRLHWLDLE-G 2 L+ D + ++ GL L L+ N L +LP H+ R DL	21
TSHR	:	226	SGPSLLDVSQTSVTALPSKGLEHLKELIARNTWTLKKLPLSLSFLHLTRADLSYP 2	80
			NHIHNLRNLTFISCSNLTVLVMRKNK-INHLNENTFAPLQKLDELDLGSNKIE 2 +H +N L + C+ ++ +R+ K +N LN +PL + E +LG + +	
TSHR	:	281	SHCCAFKNQKKIRGILESLMCNESSMQSLRQRKSVNALNSPLHQEYEENLGDSIV- 3	35
			NLPPLIFKDLKELSQLNLSYNPIQKIQANQFDYLVKLKSLSLEGIEISNIQQRMFRPLMN 3 KE S+ ++N A+ + + + EI Q + P	
TSHR	:	336	GYKEKSKFQDTHNNAHYYVFFEEQEDEIIGFGQELKNPQEE 3	76
LGR7		334	LSHIYFKKFQYCGYAPHVRSCKPNTDGISSLENLLASIIQRVFVWVVSAVTCFGNIFV 3	91
DORV	•	JJ 1	+ + Y CG + + C P + D + E+++ R+ VW VS + GN+FV	
TSHR	:	377	TLQAFDSHYDYTICGDSEDM-VCTPKSDEFNPCEDIMGYKFLRIVVWFVSLLALLGNVFV 4	35
LGR7	:		ICMRPYIRSENKLYAMSIISLCCADCLMGIYLFVIGGFDLKFRGEYNKHAQLWMESTHCQ 4 + + + + + + L AD MG+YL +I DL EY HA W C	51
TSHR	:		LLILLTSHYKLNVPRFLMCNLAFADFCMGMYLLLIASVDLYTHSEYYNHAIDWQTGPGCN 4	95
LGR7	:	452	LVGSLAILSTEVSVLLLTFLTLEKYICIVYPFRCVRPGKCR-TITVLILIWITGFIVAFI 5 G + ++E+SV LT +TLE++ I + R R + R +++ W+ F++A +	10
TSHR	:	496	TAGFFTVFASELSVYTLTVITLERWYAITFAMRLDRKIRLRHACAIMVGGWVCCFLLALL 5	55
LGR7	:	511	PLSNKEFFKNYYGTNGVCFPLHSEDTESIGAQIYSVAIFLGINLAAFIIIVFSYGSMFYS 5 PL + Y +C P+ DTE+ A Y V + L +N+ AF+I+ + ++ +	70
TSHR	:	556	PLVGISSYAKVSICLPMDTETPLALAYIVFV-LTLNIVAFVIVCCCHVKIYIT 6	07
LGR7	:	571	VHQSAITATEIRNQVKKEMILAKRFFFIVFTDALCWIPIFVVKFLSLLQVEIPGTITSWV 6 V N K+ +AKR ++FTD +C PI ++L + T+++	30
TSHR	:	608	VRNPQYNPGDKDTKIAKRMAVLIFTDFICMAPISFYALSAILNKPLI-TVSNSK 6	60
LGR7	:	631	VIFILPINSALNPILYTLTTRPFKEMIHRFWYNYRQRKSMDSKGQKTYAHHSSG 68 ++ +L P+NS NP LY + T+ F+ ++ +F RQ ++ +GQ+ +S+	84
TSHR	:	661	ILLVLFYPLNSCANPFLYAIFTKAFQRDVFILLSKFGICKRQAQAYRGQRVPPKNSTD 7	18
LGR7	:	685	VEM 687 +++	
TSHR	:	719	IQV 721	

SEQUENCE ALIGNMENT OF HUMAN LGR7, LGR8, AND TSHR.

			3 6 2 2
LGR8: LGR7:	37 23	QGSMITPS@QK <u>GYFPCGNLTKCLE</u> RAFHQDGKDDCGNGADEENCGBTSGWATIEGTVHGNANSV 1 QDVKGSL <u>GYFPCGN</u> I <u>TKCLF</u> QLLHGNGV <u>DDCGN</u> QADEDNCGBNNGWSMQBDKYFASYYKMTSQYPF	100 88
LGR8: LGR7: TSHR	101 89		R2 169 158
LGR8: LGR7: TSHR		→ LRR3 → LRR4 → LRR5 HNCERHTSRKÄFFGECNEQIEYENHUC-FETERFGIEKDEHOUTWEFLDDNPITRISORLETGENSEFFES NUKHTSISIYAFRGINSETKLYTSHUR-TFFEKPGVEEDEHREWIHIEDNHLSRISPPTEYGENSEILEV YNNGFTSVQGYAFNGTK-EDAVYENKUKYLEVIDKDAFGGVYSGPSEL-DVSQTSVTALPSKGEHEKELIF	228
LGR8: LGR7: TSHR		LRR6 → LRR7 → LRR7 MVNNYHEALZ-KOMGAOMZOJNUVDLEGNRIKYTTNSITELSGDSLTVIFLPRNOTGFVPEKTISS-IKN-IC LMNNVTTRLEDKPLGOHMPRIHNLDLEGNHIHNERNLTISGSNLTVIVMRKNKINHLNENTIAP-IOK-IL RNTWTLKKLELSLSFLHLTRA—-DISYPSHCCAFKNOKKIRGILESLMCNESSMOSLRORKSVNAINSPI	LRR8 3 308 D 298
LGR8: LGR7: TSHR		LRR9 —▶ LRR10 ELDIGSNTTTERSEHLEKDLKLEOKINISSNELMYLHKNOFESTKOEOSIDLERIETPNTNTRMEOEMKN 3 ELDIGSNKTENTERSEHLEKDLKETSOLNESYNELOKIQANOFTYTVKIKSTSLEGTETSNIQORMERELMN 3 HOEYEENLGDSIVGYKENSKFODTHNNAHNYVFFEEQEDIIGFGOELKNPOEETLOAF	378 368
		TM1 IL1	ı
LGR8: LGR7: TSHR		LSHIYFKUHRYGSYAPHVRICMHLIDGISSFEDLLANNILRIFVWYIAFIFCFGALFYJGMRSFHKAEAT 4 LSHIYFKKEOYCGYAPHVRSCKENIDGISSLENLLASIJOHVEVWVVSAVIGEGALFVJGMRPYHRSEAK 4 DSH-XDYTICGDSEDM-VGTEKSDEFNPCHDIMGYKFLRIVVWFVSLLALLGAVFYLLILLTSHYKLNV	14.8
LGR8: LGR7: TSHR			518 508
LGR8: LGR7: TSHR		EKFLVTVFPESNIRPGKROTSVILTCTWMAGELIAVTEFWNKDYEGNFYGKNGV FPTYYDOTEDTGSKG	TM5 588 578
LGR8: LGR7: TSHR			558 548



→ EL3 → TM7

LGR8: 659
LGR7: 649
TSHR

ILSEFRVETEDIMISWIVIEFEEVNSALNPILYTLTINFFRDKLKOLLHKH-ORKSIFKI--KKKSLSTSIV 727
FESDLOVETEGITSWVVIFTLEINSALNPILYTLTIRPFKEMIHRFWYNYRORKSMDSK--GOKTYAPSFI 718
LSALNKPLITVSNSKILLWLE-YELNSCANDFEYATFIKAEORDVFILESKFGICKROAQAYRGGRVPPKNST

HIEDSSSLKLGVLNKITLGDSIMKPVS* - LGR8: 729 755 757 . .

LGR7: 719 TSHR



Signal peptide

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MPGPLGLLCFLALGLLGSAGPSGA (SEQ ID NO:10) LGR4 MDTSRLGVLLSLPVLLQLATG (SEQ ID NO:11) LGR5 LHR MKQRFSALQLLKLLLLLQPPLPRA (SEQ ID NO:12) MALLLVSLLAFLSLGSG (SEQ ID NO:13) FSHR MRPADLLQLVLLLDLPRDLGG (SEQ ID NO:14) TSHR N-flank cysteine-rich sequence - APPL AA-P S DGDR----RVD SGKGLTAVPEGLSAFTQA (SEQ ID NO:15) LGR4 GSSPRSGVLLRG P-TH H EPDGRMLLRVD SDLGLSELPSNLSVFTSY (SEQ ID NO:16) LGR5 LREAL P-EP N VPDG--ALR-- PGPTAGLTR (SEQ ID NO:17) LHR HHRI H SNRVFL---- QESKVTEIPSDLPRNAIE (SEQ ID NO:18) FSHR MG SSPP E HQEED--FRVT KDIQRIPSLPPSTQT (SEQ ID NO:19) TSHR Leucine-rich repeats KSFPFLEELQLAGN -- SL HPKALSG KE KVLTLQ -- Q LGR4 DISMNNITQLPED DLSMNNISQLLPNPLPSLHFLEELRLAGNA-- TY PKGA TG YS KVLMLQ -- Q LGR5 SLAYLPVKVIPSQ RGLNEVIKIEISQI S- ER EANA DN LN SEILIQ TK -LHR RFVLTKLRVIQKG SGFGDLEKIEISQN V- EV EADV SN PK HEIRIEKAN -**FSHR** KLIETHLRTIPSH SNLPNISRIYVSI- VT QQLESHS YN SKVTHIEIR TR -TSHR RTV- SE IHG SA QS RLDA H- TSV EDS--FEGLVQLRH WLD LGR4 RHV- TE LQN RS QS RLDA H- SYV P-SC-FSGLHSLRH WLD LGR5 RYIE -G FIN PG KY SIC- TG RKF DVTKVFSSESNFI- EIC LHI- T GN LHR INIH - ERN LYIN -E FQN PN QY LIS- TG KHL DVHK-IHSLQKVL- DIQ FSHR PYM- S TYID -D LKE PL KF GIF- TGLKMF DLTK-VYSTDIFFI EIT TSHR PLSN P-TLQA T AL NISSIPDF T LSS VV H HN K-IKSLSQHC D LDN-LE LGR4 G LSSWVV H HN R-IHSLGKKC D LHS-LE A RS S-ALQAMT AL KIHHIPDY LGR5 - GTT TS E KE VHLEKMHNGA R A-TGPK A QGMMNESVT K YG GFEEVQSH LHR - GTQ DE N SD NNLEELPNDV H A-SGPV S VG SFESVI W NK GIQEIHNC **FSHR** A QG CNETLT K YN GFTSVQGY - GTK DAVY NK KYLTVIDKDA G VYSGPS TSHR LNYNYLDEF Q-AIKA PS KELGFHSNSISVI D-GA GGNPL RTIH - DNPLS LGR4 LNYNNLDEF T-AIRT SN KELGFHSNNIRSI E-KA VGNPS ITIHF- DNPIQ LGR5 ISSTKLQAL SYGLESIQR I-ATS-SYSLKKL SRET V-N-- LEAT T ---- (SEQ ID NO:22) LHR I ISRTRIHSL SYGLEN KK R-ARSTYN-LKKL TLEKLVA--- MEAS T ---- (SEQ ID NO:23) FSHR VSQTSVTAL SKGLEH KE I-ARNTWT-LKKL LSLS LH--- TRAD S ---- (SEQ ID NO:24) TSHR FVGNSAFHNLSDLHCLVIRGASLVQWFPNLTGTVHLESLTLTGTKISSIPDDLCQNQKML LGR4 FVGRSAFQHLPELRTLTLNGASQITEFPDLTGTANLESLTLTGAQISSLPQTVCNQLPNL LGR5 LHR **FSHR** TSHR



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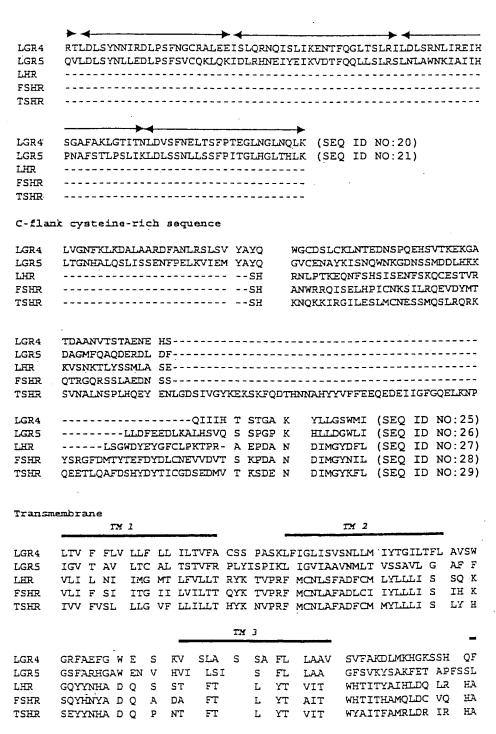


FIG. 6B



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	TM 4 TM 5
LGR4 LGR5 LHR FSHR TSHR	QVAALLALLGAAVAGCF FHGGQ SASPL FFTGETPSLGFTVTLVL SL LLMA KVIILLCALLALTM AV L G K GASPL LPFGEPSTMG MVALIL SLC LMMT ILIMLGGWLFSSLI ML V V N MKVSI F MDVETTLSQV ILTILI VV FIIC ASVMVMGWIFAFAA LF IF I S MKVSI MDIDSPLSQL VMSLLV VL VVIC CAIMVGGWVCCFLL LL V I S AKVSI MDTETPLALA IVFVLT IV VIVC
	TM 6
LGR4 LGR5 LHR FSHR TSHR	II T L CNL-EKEDLSENSQSSVI HV W NCIFFC VA FSFAPLITAIS SPEI IA T L CNL-DKGDLENIW CSMV HI L L NCILNC VA LSF SLINLTF SPEV AC I I FAVRNPELMATNK TKIA KM I DFTCMA IS FAI AAFKVPL TVTN GC IHI LTVRNPNIVSSSS TRIA RM M DFLCMA IS FAI ASLKVPL TVSK CCHV I ITVRNPQYNPGDK TKIA RM V DFICMA IS YAL AILNKPL TVSN
	TM 7
LGR4 LGR5 LHR FSHR TSHR	M SVTLI F LPA L V VF N (SEQ ID NO:30) I FI LVVV LPA L L IL N (SEQ ID NO:31) S VL VL Y INS A F AI T (SEQ ID NO:32) A IL VL H INS A F AI T (SEQ ID NO:33) S IL VL Y LNS A F AI T (SEQ ID NO:34)
C-term	minal tail
LGR4 LGR5 LHR FSHR TSHR	PK KE WKL KRRVTRKHGSVSVSISSQGGCGEQDFYYDCGMYSHLQGNLTVCDCCESFL PH KE LVS RKQTYVWTRSKHPSLMSINSDDVEKQSCDSTQALVTFTSSITYDLPPSS KT QR FFL LSKFGCCKRRAELYRRKDFSAYTSNCKNGFTGSNKPSQSTLKLSTLHCQG KN RR FFI LSKCGCYEMQAQIYRTETSSTVHNTHPRNGHCSSAPRVINGSTYILVPLS KA QR VFI LSKFGICKRQAQAYRGQRVPPKNSTDIQVQKVTHDMRQGLHNMEDVYELI
LGR4 LGR5 LHR FSHR TSHR	LTKPVSCKHLIKSHSCPVLTAASCQRPEAYWSDCGTQSAHSDYADEEDSFVSDSSDQVQA VPSPAYPVTESCHLSSVAFVPCL (SEQ ID NO:36) TALLDKTRYTEC (SEQ ID NO:37) HLAQN (SEQ ID NO:38) ENSHLTPKKQGQISEEYMQTVL (SEQ ID NO:39)
LGR4	CGRACFYQSRGFPLVRYAYNLQRVRD (SEQ ID NO:35)